

# ***In Situ* Surface-Enhanced Infrared Spectroscopy to Identify Oxygen Reduction Products in Non-aqueous Metal-Oxygen Batteries**

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## ABSTRACT

We report on the detection of metastable, solvated and surface adsorbed alkali metal-oxygen (M-O<sub>2</sub>) discharge species using *in situ* attenuated total reflectance surface enhanced infrared absorption spectroscopy (ATR-SEIRAS). Oxygen-oxygen stretching bands ( $\nu_{O-O}$ ) of superoxide species formed during M-O<sub>2</sub> battery discharge have been challenging to observe by conventional infrared (IR) techniques and because of this, there has been limited use of IR techniques for *in situ* monitoring of the discharge products at the cathode in metal-O<sub>2</sub> batteries. We explore SEIRAS technique to investigate lithium-oxygen and sodium-oxygen electrochemistry in acetonitrile (MeCN; a low Gutmann donor number solvent) as well as dimethyl sulfoxide (DMSO; a high Gutmann donor number solvent) in order to demonstrate the feasibility of our approach in the ongoing efforts toward the realization of M-O<sub>2</sub> battery technology. *In situ* IR spectroscopy studies, together with coupled-cluster method including perturbative triple excitations [CCSD (T)] calculations, establishes that certain M-O and O-O stretching bands ( $\nu_{M-O}$  and  $\nu_{O-O}$ ) of metal superoxide and peroxide molecular species are IR active, although these vibrational modes are silent or suppressed in their crystalline forms. An *in situ* IR spectroscopy based approach to distinguish between ‘solution mediated’ and ‘surface confined’ discharge pathways in non-aqueous M-O<sub>2</sub> batteries is demonstrated.

## Introduction

Lithium-ion batteries have revolutionized technological progress of the past two decades; however, there appears to be little room left to increase further their specific energy<sup>1</sup>. Developing new types of batteries with higher energy storage and superior performance is crucial for the realisation of a true alternative to fossil-fuel-based energy economy<sup>1, 2</sup>. In view of the overwhelmingly high gravimetric energy densities projected by theoretical as well as recent experimental reports, metal-oxygen (M-O<sub>2</sub>) batteries, popularly known as metal-air batteries, promise to lead next generation energy storage systems.<sup>1-16</sup> While the cathodes of metal-ion batteries rely on the intercalation reactions of metal ions, non-aqueous M-O<sub>2</sub> batteries are based on the electrochemical reduction of molecular oxygen and their subsequent reaction with metal ions at the cathode. Under controlled conditions, the M-O<sub>2</sub> electrochemical reaction products can be reversibly oxidised to regenerate molecular oxygen (O<sub>2</sub>) at the cathode and the corresponding metal (M = Li or Na) at the anode, making it a promising secondary battery. In spite of its huge advantage in terms of specific energy, currently several detrimental physical and chemical mechanisms during the charge-discharge process prevents M-O<sub>2</sub> becoming a practical technology.<sup>1-3, 17-20</sup> Therefore, fundamental studies providing molecular level understanding and mechanistic insight into the underlying interfacial electrode processes during discharge-charge (electro) chemistry, as wells as other desirable or undesirable reaction pathways are required in order for M-O<sub>2</sub> batteries to develop into a technology of the near future<sup>2</sup>.

There has been tremendous effort in the area of non-aqueous Li-O<sub>2</sub> batteries in the past few years that have given some significant insights, yet several unresolved issues prevent the realization of a sustainable Li-O<sub>2</sub> battery. Recently, several groups have shifted attention to other M-O<sub>2</sub> batteries as well, particularly Na-O<sub>2</sub>, with the aim of surpassing certain limitations and challenges in the Li-

O<sub>2</sub> system. Na-O<sub>2</sub> batteries have been proposed as a more reversible, stable and energy efficient system in a series of recent reports,<sup>15, 16, 21</sup> however Na-O<sub>2</sub> research is still in its infancy and there is a need to address several issues in this area as well. More importantly, the storage capacity, reversibility as well as discharge products of M-O<sub>2</sub> batteries depend on the electrolyte composition as well.<sup>22-25</sup> The complexity and sensitivity of the discharge/charge reactions recognized by recent reports underlines the importance of detailed, theoretical as well as experimental, mechanistic investigations under controlled conditions in order to fill in the knowledge-gap hampering M-O<sub>2</sub> battery technology.

The improvements and insights obtained in the area of M-O<sub>2</sub> batteries in recent years hugely benefited from in-depth *in situ* analyses. Notably, *in situ* Raman spectroelectrochemistry has played a key role in revealing intricate details of charge-discharge chemistry at the electrode/electrolyte interface in these systems.<sup>17, 23, 26-28</sup> There have also been attempts to utilize *in situ* infrared (IR) spectroscopy in this direction, but conventional *in situ* infrared spectroscopy techniques suffer from huge IR absorption by the electrolyte, making interfacial analysis of model battery interfaces a challenging task. A recent study also highlights the limitations of *ex situ* IR spectroscopy techniques in the context of electrode-electrolyte interface characterization in Li-O<sub>2</sub> cell positive electrodes.<sup>29</sup> This study points out that even compounds such as lithium carbonate (carbonates have high IR absorption cross section compared to peroxides or superoxides) need to be present at significant concentrations ( $\geq 6-8$  mol%) in carbon matrices (typical positive electrode for lithium-oxygen batteries) to be able to detect them using *ex situ* IR spectroscopy. Apart from these issues, the deployment of IR techniques with the goal of identifying oxygen reduction products is questionable for the simple reason that typical oxygen reduction reaction (ORR) products such as superoxides and peroxides often do not have IR active  $\nu_{O-O}$ . In spite of this, our motivation stems

from the fact that these stretching modes in  $\text{MO}_2$  can be IR active under certain conditions where O-O bonds deviate from their innate homopolar nature. IR detection of superoxides and peroxides of lithium and sodium have been reported in matrix isolated systems,<sup>30-33</sup> yet the detection of  $\text{M}_x\text{O}_2$  species in solution or at the interface remains unreported. The detection of electrochemically generated reduced oxygen species using *in situ* attenuated total reflectance (ATR) IR spectroscopy or surface enhanced infrared absorption spectroscopy (SEIRAS) techniques in alkaline and acidic media has been reported a few times.<sup>34-36</sup> However, there have been no reports exploring SEIRAS techniques for studying oxygen reduction mechanisms in non-aqueous environments, especially in the area of M- $\text{O}_2$  batteries. Our IR based approach was inspired by the recent reports suggesting solution mediated discharge mechanisms in non-aqueous M- $\text{O}_2$  batteries. According to this postulate, the discharge product formation in non-aqueous M- $\text{O}_2$  may follow a solution mediated or surface confined mechanism determined by the donicity of the solvent.<sup>22</sup> In solution-mediated processes, M- $\text{O}_2$  intermediates were formed in the solution rather than on the electrode surface. If these discrete, intermediary ORR species formed in solution can be detected using SEIRAS, this would open up the scope of this approach in areas beyond M- $\text{O}_2$  batteries, viz. catalysis, fuel cells, biomimetic electrochemical systems, etc.

During the discharge of an M- $\text{O}_2$  battery, oxygen is reduced at the cathode, forming nucleophilic oxygen species such as superoxides ( $\text{O}_2^-$ ) and peroxides ( $\text{O}_2^{2-}$ ). Undesirable reactions promoted by these highly nucleophilic species result in the degradation of the electrolytes. Recent efforts toward developing electrolytes that are stable against reactive oxygen species, as well as understanding the mechanistic details of the reaction pathways have led to interesting insights into the Li- $\text{O}_2$  (electro)chemistry. Bruce and co-workers investigated the mechanistic details of the cathode process demonstrating ORR in several aprotic solvent - lithium salt combinations, and their *in situ*

Raman studies explicitly showed the crucial role played by the solvent donicity in determining the discharge chemistry and reversibility of Li-O<sub>2</sub> system.<sup>22</sup> High donor number solvents (e.g. dimethyl sulfoxide [DMSO]) have stronger coordination to the metal cations thereby reducing the Lewis acidity of the cations, thus extending the life of superoxide in solution, where the reaction proceeds *via* chemical disproportionation of superoxides to peroxides. Low donor number solvents (e.g. acetonitrile [MeCN]) promote a surface confined route to lithium superoxide (LiO<sub>2</sub>); rather than chemical disproportionation of superoxides to peroxides, a surface growth model of the intermediates and products, is highly likely in this case.<sup>22, 25</sup> Since the report by Johnson *et al.*<sup>15</sup> demonstrated the influence of Gutmann donor number in dictating the solubility, stabilization and growth mechanism of LiO<sub>2</sub> and lithium peroxide (Li<sub>2</sub>O<sub>2</sub>), there have been tremendous efforts to explore this phenomenon in analogous systems using various *in situ* techniques such as Raman Spectroscopy, Electron Paramagnetic Resonance (EPR), Electrochemical Quartz Crystal Microbalance (EQCM), etc.<sup>26, 37-40</sup> as to identify intermediates and products in Li-O<sub>2</sub> systems. Recently our group has explored the solvent dependence of Na-O<sub>2</sub> electrochemistry using *in situ* Surface Enhanced Raman Spectroscopy (SERS).<sup>26</sup>

*In situ* SERS has played a crucial role in shedding light on the intricate electrochemistry in M-O<sub>2</sub> cells in the recent years.<sup>22, 23, 26, 28, 41, 42</sup> Various *ex situ* as well as *in situ* IR studies are also being routinely used in this context.<sup>43</sup> We have recently explored the use of ATR-SEIRAS for gaining mechanistic insight into electrolyte degradation pathways.<sup>44</sup> ATR-SEIRAS is a fascinating internal reflection technique that offers superior sensitivity to the interfacial region when compared to other ATR or external reflection techniques. The SEIRA phenomenon (an enhancement in IR absorption in internal reflection IR spectroscopy) was first reported by Hartstein *et al.*<sup>45</sup>, followed by the reports by Hatta *et al.*<sup>46</sup> employing an ATR configuration using metal underlayer (Kretschmann

configuration)<sup>47</sup> for signal enhancement. The acronym SEIRAS was first used by Osawa<sup>48</sup> who then pioneered the use of this technique in the area of spectroelectrochemistry.<sup>49-51</sup> SEIRAS has a rich record of accomplishment for studying molecular structure, orientation and functional changes of species present at the electrode/electrolyte interface. A majority of these studies were performed with aqueous electrolytes and used electrolessly deposited metal film on a silicon internal reflection element (IRE). This offers a practical spectroscopic window between 1200  $\text{cm}^{-1}$  and 4000  $\text{cm}^{-1}$ . IR bands of ORR products of interest generally appear below 1200  $\text{cm}^{-1}$ , hence the use of silicon IREs limit the use of SEIRAS for this purpose. We explore the use of ZnSe IRE instead of Si, a major advantage of this being the possibility to study lower wavenumber regions. Since ZnSe is not stable under the conditions in which the gold working electrode film is formed on the IRE by electroless deposition, we have adopted a thermal evaporation technique for this purpose.

## Experimental

### *In situ* Electrochemical SEIRAS

A Bruker IFS66v spectrometer equipped with MCT (mercury cadmium telluride; low frequency cut off ca.  $550\text{ cm}^{-1}$ ) detector was used to record IR spectra (detector range x-y  $\text{cm}^{-1}$ ). The interferometer was driven by dry air; the specular reflection unit was also purged with carbon dioxide free dry air. The angle of incidence was set at  $65^\circ$  and p-polarized IR radiation was used. Electrochemical measurements were performed using a Bio-Logic potentiostat. A schematic of the spectroelectrochemical cell is shown in Figure 1a. A  $60^\circ$  ZnSe prism (PIKE Technologies) was chosen as the ATR internal reflection element. The gold film working electrode ( $\sim 20\text{ nm}$  thickness) was formed on the polished side of a ZnSe prism, which was thoroughly cleaned in deionized water, sonicated in ethanol then dried overnight prior to gold deposition. The gold film was formed on the ZnSe prism by vacuum deposition of Au (99.999 %) using a Leybold Univex 300 thermal evaporation system. SEM as well as AFM images illustrating the morphology and thickness of the gold island film formed on the prisms are shown in Figure 1. In order to ensure a proper electrical contact to the gold island film, a thicker layer of gold (ca.  $40\text{ nm}$ ) was deposited around the rim of the gold covered face of ZnSe and electrical contact to the working electrode was made by pressing a gold foil against this. The spectroelectrochemical cell was formed by a PEEK liquid cup attached on top of a ZnSe prism in the Kretschmann configuration. Both counter (Pt) and reference (Ag) electrodes were attached to a PEEK disk and pressed against the top end of the PEEK liquid cup. On both ends of the PEEK liquid cup, a proper seal was ensured using Kalrez® O-rings.



A coiled platinum wire was used as a counter electrode and silver wire as reference electrode. This silver quasi-reference electrode was calibrated against an internal ferrocene reference and potentials were then quoted against either Li/Li<sup>+</sup> or Na/Na<sup>+</sup> for convenient reference. The ATR-SEIRAS cell components and the salts used were dried at 120 °C under vacuum for 12 h and transferred to the glovebox without exposure to air or moisture. The *in situ* SEIRAS cell was assembled and the electrolytes were purged using either dry argon or dry oxygen passed through multiple desiccant columns, inside an argon filled glovebox. Anhydrous Superdry® solvents, MeCN and DMSO were purchased from ROMIL and further dried over activated 3 Å molecular sieves inside an argon filled glovebox. Lithium trifluoromethanesulfonate (LiOTf), sodium trifluoromethanesulfonate (NaOTf), tetraethyl trifluoromethanesulfonate (TEAOTf) and lithium chloride (LiCl) were purchased from Sigma Aldrich. All salts and solvents were stored in a glovebox and in addition, solutions and electrolytes were prepared in a glovebox. The water content of these solvents was tested using a Metrohm Karl Fischer Coulometer. Water content in these electrolytes was below 10 ppm. All measurements were conducted at room temperature; the voltammograms were obtained by scanning from the open circuit potential (OCP) to the oxygen reduction region then toward positive potentials where oxygen evolution reactions occur. IR spectra were collected over 30s while the electrode potential was varied with a 10 mV/s scan rate. SEIRA spectra were plotted as the relative change in the IR signal with respect to OCP using the formula,

$$\frac{\Delta S}{S} = \frac{S_{\text{variable}} - S_{\text{OCP}}}{S_{\text{OCP}}}$$

where S<sub>OCP</sub> corresponds to signal obtained at OCP and S<sub>variable</sub> indicate signal obtained at various stages during CV. A negative going band in this case indicates an increasing absorbance when compared to the OCP reference state.

## Electrochemical Measurements

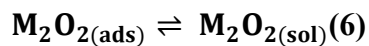
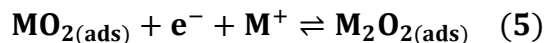
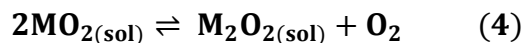
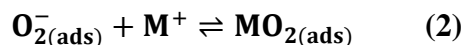
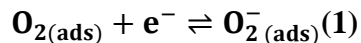
Cyclic voltammetry (CV) was carried out in a three-electrode cell using a planar Au electrode as working electrode, Pt and Ag wire as counter and reference electrode respectively.

## Computational methods

All structures were fully optimised using Gaussian09.<sup>52</sup> All structures except Na<sub>2</sub>O<sub>2</sub> were calculated using CCSD(T)/6-311+G(3d,f).<sup>53</sup> Default settings were used apart from SCF=QC and INT=ULTRAFINE. Due to difficulties in completing the calculations Na<sub>2</sub>O<sub>2</sub> was calculated using M06/6-311+G(3d,f).<sup>54</sup> Harmonic vibrational frequencies were computed for all optimized structures to verify that they were minima possessing zero imaginary frequencies.

## Results and Discussions

In simple terms, the general mechanism of O<sub>2</sub> reduction in non-aqueous Li-O<sub>2</sub> and Na-O<sub>2</sub> batteries can be represented in the following chemical and electrochemical reactions:



In the above equations, M indicates alkali metal (Li or Na), “ads” indicated adsorbed species and “sol” indicates bulk/solubilized species. For both Li-O<sub>2</sub> and Na-O<sub>2</sub> batteries the first step is the reduction of oxygen to form superoxide (O<sub>2</sub><sup>-</sup>) and in the presence of metal cation, a chemical step occurs to form MO<sub>2</sub> (i.e. LiO<sub>2</sub> or NaO<sub>2</sub>). In general, the stability of alkali metal superoxide (MO<sub>2</sub>) increases down the group, but the kinetic stability of MO<sub>2</sub> is also determined by the chemical and electrochemical environments. Depending on the conditions, the metastable MO<sub>2</sub> can follow either the chemical step (equation 4) or the electrochemical step (equation 5) to form M<sub>2</sub>O<sub>2</sub>. If the MO<sub>2</sub> intermediate is soluble in the electrolyte, then M<sub>2</sub>O<sub>2</sub> is formed *via* a solution-mediated process, in contrast to surface-confined reaction in solvents that do not efficiently dissolve the superoxide intermediate. Well-documented reports in the area of Li-O<sub>2</sub> batteries suggest that Li<sub>2</sub>O<sub>2</sub> is the primary discharge product in non-aqueous Li-O<sub>2</sub> cells, whereas both NaO<sub>2</sub> and Na<sub>2</sub>O<sub>2</sub> have been

detected in Na-O<sub>2</sub> cells,<sup>7, 55, 56</sup> owing to the relative kinetic stability of NaO<sub>2</sub> compared to LiO<sub>2</sub>. Recent studies demonstrated that the primary discharge products in M-O<sub>2</sub> batteries is also determined by the stability and solubility of MO<sub>2</sub> in the given (electro)chemical environment<sup>22, 26</sup>; the presence of water also affects the formation of discharge products<sup>57, 58</sup>. Using SEIRAS we investigate these mechanistic aspects of Li-O<sub>2</sub> and Na-O<sub>2</sub> chemistry in two different solvents, viz. DMSO and MeCN. Because no previous reports are available on the IR spectra of MO<sub>2</sub> or M<sub>2</sub>O<sub>2</sub> in these environments, we intend to make an evaluation by comparing our results to the recent Raman spectro-electrochemistry studies in analogous systems. The assignment of bands and IR activity of these species are supported by our CCSD(T) calculations, as well as existing IR spectroscopy of superoxide species in isolated matrices.

#### *In situ* SEIRAS Characterization

Figure 2a shows a CV recorded in oxygen purged 0.1M LiOTf/DMSO electrolyte on an Au electrode at 10 mVs<sup>-1</sup> scan rate. A negative going current peak at 2.63 V vs. Li/Li<sup>+</sup> indicates oxygen reduction forming O<sub>2</sub><sup>-</sup> followed by Li<sub>x</sub>O<sub>y</sub>, and the positive peak at 3.5 V vs. Li/Li<sup>+</sup> corresponds to the oxidation of reduced species back to O<sub>2</sub> and Li<sup>+</sup>. The SEIRA spectra were obtained simultaneously during the potential scan; corresponding spectra are shown in Figure 2b. For convenience, only the sections relevant to  $\nu_{O-O}$  or  $\nu_{Li-O}$  of LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> are shown here (see supplementary figure 1a for the SEIRA spectra over the entire 1700-700 cm<sup>-1</sup> region). The black spectrum in Figure 2b corresponds to OCP (3.05 V vs. Li/Li<sup>+</sup>), which shows no remarkable features because at OCP the interface was virtually undisturbed and no changes were observed in this spectrum with respect to the reference spectrum that was also obtained at the OCP. From the OCP the potential was scanned toward the negative vertex (1.6 V vs. Li/Li<sup>+</sup>) and then to the positive vertex (3.9 V vs. Li/Li<sup>+</sup>) before returning to the initial OCP. The spectra collected during this potential scan are

shown in Figure 2b, a major feature being the two peaks developed respectively at around 1127  $\text{cm}^{-1}$  and 780  $\text{cm}^{-1}$  as the electrode potential was scanned to negative values from OCP. The position of these bands matches closely with that of  $\text{LiO}_2$  and  $\text{Li}_2\text{O}_2$  typically observed using Raman spectroscopy. Calculations (CCSD(T)/6-311+G(3d,f).) assign these bands to  $\text{LiO}_2$  ( $\nu_{\text{O-O}}$  1127  $\text{cm}^{-1}$ ) and  $\text{Li}_2\text{O}_2$  ( $\nu_{\text{Li-O}}$  826  $\text{cm}^{-1}$ ); these bands are IR active when the species are in their molecular forms. In order to rule out the appearance of these bands from the changing surface interaction of salt LiOTf, the experiment was repeated using the salt LiCl. SEIRAS corresponding to oxygen reduction in DMSO/LiCl electrolyte also shows analogous superoxide and peroxide bands (SI Figure 11).

**Table 1 Comparison of Experimental and Calculated IR active Harmonic Frequencies ( $\text{cm}^{-1}$ ). <sup>a</sup>Full list of calculated harmonic frequencies found in SI**

	Experiment	Calculation	Literature (matrix isolation studies)
	( $\text{cm}^{-1}$ )	( $\text{cm}^{-1}$ )	( $\text{cm}^{-1}$ )
<b><math>\text{Li}_2\text{O}_2</math></b>	780, 830	826 <sup>a</sup> ( $\nu_{\text{Li-O}}$ )	767-872 <sup>33</sup>
<b><math>\text{LiO}_2</math></b>	1127-1139	1130 <sup>a</sup> ( $\nu_{\text{O-O}}$ )	1097 <sup>30, 32</sup> , 1127 <sup>32</sup>
<b><math>\text{Na}_2\text{O}_2</math></b>	-	590 <sup>a</sup> ( $\nu_{\text{Na-O}}$ )	-
<b><math>\text{NaO}_2</math></b>	1122-1125	1166 <sup>a</sup> ( $\nu_{\text{O-O}}$ )	1080 <sup>31</sup> , 1112 <sup>31</sup>
<b><math>\text{H}_2\text{O}_2</math></b>	895 – 905	911 <sup>a</sup> ( $\nu_{\text{O-O}}$ )	
<b><math>\text{HO}_2^-</math></b>	-	756 <sup>a</sup> ( $\nu_{\text{O-O}}$ )	
<b><math>\text{HO}_2^-</math></b>	-	1164 <sup>a</sup> ( $\delta_{\text{H-O-O}}$ )	
<b><math>\text{LiOOH}</math></b>	1170	1176 <sup>a</sup> ( $\delta_{\text{H-O-O}}$ )	

In fact, there have been some reports on the IR activity of molecular  $\text{LiO}_2$  and  $\text{Li}_2\text{O}_2$  from matrix isolation studies,<sup>30-33</sup> but IR active bands within the wavenumber range accessible through the ZnSe prism are generally not to be expected for crystalline  $\text{Li}_2\text{O}_2$ , where bands are typically observed at 530, 422, and 337  $\text{cm}^{-1}$ .<sup>17, 59</sup> These factors present a rationale for the observation of molecular  $\text{LiO}_2$  and  $\text{Li}_2\text{O}_2$  species in our system. Bruce *et al.* proposed that high donor number solvents (DMSO for example) promote discharge product formation *via* a solvent mediated route.<sup>15</sup> The IR active bands corresponding to molecular  $\text{LiO}_2$  or  $\text{Li}_2\text{O}_2$  species observed here could indicate metastable species formed during this solvent mediated discharge product formation. Once these  $\text{LiO}_2$  or  $\text{Li}_2\text{O}_2$  molecular species are transformed into solid crystalline phases or surface films, their corresponding  $\nu_{\text{O-O}}$  or  $\nu_{\text{Li-O}}$  would no longer be IR active in the range assessable using the ZnSe window  $>700 \text{ cm}^{-1}$  (IR active bands for crystalline  $\text{Li}_2\text{O}_2$  are observable at 530, 422 and 337  $\text{cm}^{-1}$ ),<sup>17</sup> although Raman bands will remain active. Our observation of these peaks strongly supports the notion that DMSO promotes the formation of discrete molecules of reduced oxygen species. Significantly, this result is direct proof of the presence of molecular  $\text{Li}_2\text{O}_2$  at the electrode interfacial region. Raman spectroscopy cannot distinguish spectroscopically between bulk and molecular  $\text{Li}_2\text{O}_2$ , whereas IR is only sensitive to molecular  $\text{Li}_2\text{O}_2$ . An alternative, but less likely, scenario is that a large number of small clusters or crystallites of insoluble  $\text{Li}_2\text{O}_2$  form at the interface, with symmetry breaking at their surface giving apparent IR activity to only the peripheral  $\text{Li}_2\text{O}_2$ . However, the results presented later in the manuscript for MeCN electrolytes also support the notion that the IR bands are indeed from molecular  $\text{Li}_2\text{O}_2$  in solution.

It is also worth noting that the IR bands, particularly the  $\text{Li}_2\text{O}_2$  band, do not disappear at the positive potentials, meaning there is still  $\text{Li}_2\text{O}_2$  (and even small amounts of  $\text{LiO}_2$ ) present close enough to the interface to be detected by SEIRAS during the timescale of the experiment. In addition to

the two major IR bands, two weak bands around  $830\text{ cm}^{-1}$  and  $853\text{ cm}^{-1}$  starts to appear and the  $853\text{ cm}^{-1}$  band appears more pronounced after the oxidation peak in the CV. These bands could be related to protonated peroxides or other forms or higher-order  $\text{Li}_x\text{O}_y$  molecular clusters or any undesirable reaction products or intermediates.<sup>60, 61</sup> Although computational studies employed in this report established the IR activity of low order molecular  $\text{Li}_x\text{O}_y$  species, theoretical studies exploring other possible  $\text{Li}_x\text{O}_y$  clusters and the contribution of solvent interaction with them would be necessary in order to accurately assign these band positions. Further studies are underway to explore this. None of these bands were observed in deoxygenated DMSO/LiOTf systems (please find details in the supporting figure S4a) indicating that all the peaks discussed here relate to desirable or undesirable ORR products or intermediates. In addition to the bands related to the reduced oxygen species discussed above, we also observe some bands (major bands positioned around  $1440\text{ cm}^{-1}$ ,  $1410\text{ cm}^{-1}$ ,  $1310\text{ cm}^{-1}$ ,  $1245\text{ cm}^{-1}$ ,  $1230\text{ cm}^{-1}$  and  $1060\text{ cm}^{-1}$ ) appearing upon potential scan which do not appear “reversible” upon return to OCP. The position of these bands match well with the bands of the electrolyte components (please see Table S8), considering that small shifts in band positions are expected for species near the electrode surface. The apparent irreversibility of these bands is related to potential induced changes in the very thin gold layer (20 nm) formed on the ATR prism, which alters absorbance of the species present at the interface. While a thin gold nanoisland film used here helps with the detection of reduced oxygen species, this technical issue limits any quantitative analysis based on the absorbance obtained.

Figures 3a and 3b show a CV and corresponding SEIRA spectra respectively for 0.1 M NaOTf/DMSO electrolyte on Au (see supplementary figure 1b for the SEIRA spectra recorded over the entire region). A band at  $1122\text{ cm}^{-1}$  appears as the potential is scanned toward negative values. This process is apparently electrochemically reversible in this case as the corresponding peak disappears at positive potentials. The position of this band is close to what we observed for  $\text{LiO}_2$ ,

which would indicate that this band corresponds to  $\text{NaO}_2$  in this case, but the calculated harmonic frequency of  $\text{NaO}_2$  is apparently  $41\text{ cm}^{-1}$  higher. The chemical or electrochemical environment of the superoxide species will have subtle influences on the degree of charge transfer to their O-O bond. Extensive computational studies taking higher order molecular clusters of these species, as well as solvent coordination also into consideration would be necessary to establish precise assignments of these bands; studies to address this possibility are currently underway in our group. A major difference between the  $\text{NaO}_2$  and  $\text{LiO}_2$  spectroscopic peaks (Figures 2 and 3) is that the IR band observed for  $\text{LiO}_2$  does not completely reversibly disappear even at the most positive potential, whereas the band corresponds to  $\text{NaO}_2$  completely disappears at positive potentials. Interestingly, a significant electrode potential dependent shift in the  $\text{LiO}_2$  band ( $-9\text{ cm}^{-1}/\text{V}$ ) was also observed, while the shift is relatively small for the  $\text{NaO}_2$  peak ( $-4\text{ cm}^{-1}/\text{V}$ ). Electrode potential dependent wavenumber shifts could have a variety of origins; they could be due to the interaction of the dipole with the intense electric field at the interface or the shifts could be due to electrode potential dependent changes in charge transfer between the electrode and an adsorbate. More subtle effects could include electrode potential dependent changes in solvation or interactions with charged species in the interfacial region. The observed larger shift in the  $\text{LiO}_2$  system could indicate a stronger interaction of  $\text{LiO}_2$  with the surface or the electric field of the electrochemical double layer when compared to  $\text{NaO}_2$  system. Even though the coordination of DMSO assists in the formation of discrete  $\text{LiO}_2$  species,  $\text{LiO}_2$  would still have to maintain a strong interaction with the surface or electric field of the electrochemical double layer to exhibit appreciable electrode potential dependent wavenumber shifts. Conversely smaller shifts in the case of  $\text{NaO}_2$  might point to a weaker interaction with the surface or interfacial field. A recent report addressing the mechanistic oxygen reduction in  $\text{Li}^+$  containing DMSO indicates that the formation of  $\text{Li}_2\text{O}_2$  on gold electrode proceeds mainly *via* electrochemical reduction (equation (5) above) rather than chemical disproportionation (equation (4) above) in the bulk.<sup>62</sup> This indicates that DMSO solvated  $\text{LiO}_2$  is within close vicinity of the gold electrode. However, since the electrode potential dependence of vibrational bands can have several origins it is not possible to definitively attribute the larger wavenumber shift for the  $\text{LiO}_2$  band to a stronger interaction with the surface.

In contrast to the observation for the Li-O<sub>2</sub> system (Figure 2a), we do not see any bands in the  $780\text{ cm}^{-1}$  -  $860\text{ cm}^{-1}$  region in Na-O<sub>2</sub> system (Figure 3b). However, this does not necessarily rule out



the possibility of  $\text{Na}_2\text{O}_2$  formation in this system, as our computation studies suggest that the  $\text{Na}_2\text{O}_2$  IR band would appear below  $700\text{ cm}^{-1}$  (see supporting information, table S3), which is difficult to observe with our present setup using ZnSe ATR elements and MCT detector. Spectra resulting from the control experiments in argon purged 0.1 M NaOTf/ DMSO electrolyte are provided in the supporting information.

An analogous set of measurements were carried out in MeCN-based electrolytes as well. In these measurements in MeCN, it proved very difficult to detect the superoxide as well as peroxide bands, in comparison to DMSO. These corresponding spectra recorded for electrolyte solutions containing 0.1 M LiOTf or 0.1 M NaOTf are shown in the supporting information Figures S4 and S5. We believe the lack of corresponding  $\nu_{\text{O-O}}$  IR bands in the MeCN-based electrolytes is due to the absence or low concentration of solvent coordinated discrete  $\text{MO}_2$  molecular species in this electrolyte, however a very weak band at ca  $800\text{ cm}^{-1}$  ( $\nu\text{ Li-O}$ ) may suggest the presence of  $\text{Li}_2\text{O}_2$  (Figure S4). The solvation of ORR products (as in the case of DMSO) is apparently crucial for the IR activity of these species. The difference in solvation of the discharge products between DMSO and MeCN is linked to their donor number (which is a quantitative measure of Lewis basicity), 29.8 kcal/mol and 14.1 kcal/mol respectively. This observation is in line with the solvent dependent ORR mechanisms postulated in recent reports.<sup>22, 24-26, 55</sup> In order to examine this further, we carried out measurements in MeCN containing low concentrations (5 mM) of LiOTf or NaOTf. In order to maintain a reasonable ionic conductivity of the electrolyte 95 mM TEAOTf was included as the supporting salt. Comparable  $\nu_{\text{O-O}}$  corresponding to both  $\text{NaO}_2$  and  $\text{LiO}_2$  were observed in both these cases (Figures 4 and 5). Notably, in addition to the peaks observed in DMSO based electrolytes containing either LiOTf or NaOTf only (at  $1127\text{ cm}^{-1}$  and  $1122\text{ cm}^{-1}$  respectively), an additional peak at a lower wavenumber ( $\sim 1100\text{ cm}^{-1}$ ) was also observed in this case. This low frequency

band could correspond to more weakly coordinated superoxide, such as the species  $\text{TEA}^+\text{O}_2^-$ . This latter species is typically observed using Raman spectroscopy when there is tetraalkylammonium salts present in the electrolyte instead of an alkali metal cation. Another notable point is that there is no significant Stark shift of the  $\text{LiO}_2$  or the  $\text{NaO}_2$  peaks in this case. This contrasts with our observations for the DMSO/0.1 M LiOTf electrolyte, where a considerable Stark shift of the  $\text{LiO}_2$  band is apparent. This might suggest that in the presence of  $\text{TEA}^+$ , the  $\text{Li}_x\text{O}_y$  species are formed further away from the surface, i.e. out of the influence of the electric field of the electrochemical double layer and not directly surface attached. In this case the tetraalkylammonium cation additive might be acting as a phase transfer catalyst as has been suggested previously<sup>63, 64</sup>. Because of the presence of a phase transfer agent, the reduced oxygen species would not directly interact with the surface and thus no Stark shift would be observed in this case. Corresponding control experiments in argon-purged electrolytes are presented in the supporting information (Supporting Figures S7 and S8).

The presence of water has a detrimental effect in non-aqueous M- $\text{O}_2$  batteries. In DMSO/LiOTf, when slightly contaminated with water ( $> 50$  ppm), we observed additional peaks, notably around  $1154\text{ cm}^{-1}$ ,  $1170\text{ cm}^{-1}$  and  $1500\text{ cm}^{-1}$  (Supporting Figure S10). Our CCSD calculations suggests that the  $1170\text{ cm}^{-1}$  band can be related to the  $\delta_{\text{H-O-O}}$  mode of  $\text{HO}_2^-$  or  $\text{LiO}_2\text{H}$ . We have tentatively assigned this band to  $\text{LiO}_2\text{H}$  (please see Table 1) in the light of a recent empirical evidence demonstrating the absence of a band around this wavenumber for  $\text{HO}_2^-$  in aqueous medium,<sup>35</sup> though we do not completely rule out this possibility as the effect of solvation would be significantly different in our non-aqueous system. The  $1154\text{ cm}^{-1}$  band appears only at positive potential which is in agreement with the formation of dimethyl sulfone reported by other groups, indicating that the

presence of trace water promotes this side reaction<sup>43</sup>. The 1500 cm<sup>-1</sup> peak indicates that inorganic carbonates are also formed under these compromised conditions.

Our ATR-SEIRAS studies comparing Li-O<sub>2</sub> and Na-O<sub>2</sub> electrochemistry in DMSO and MeCN provide important information about the ORR mechanism through the direct spectroscopic detection of reduced oxygen species. IR activity of these species is strongly dependent on the electrolyte composition as well as the donicity of the solvent. A qualitative evaluation highlighting the strong dependence of the ORR reactions on the solvent as well as the metal is shown in Figure 6. Insights obtained from our SEIRAS studies help to explain the differences between CVs shown in Figure 6. The first reduction peak observed in all the CVs corresponds to the formation of superoxide by the reduction of molecular oxygen. In DMSO based electrolytes, both Li-O<sub>2</sub> and Na-O<sub>2</sub> systems show moderate peak-to-peak separation in the CVs of the superoxide electrochemistry (black curves in Figure 6a and Figure 6b respectively). However, after sweeping more cathodic to the peroxide formation step (second reduction peak in the voltammograms), a significantly higher overpotential for oxidation of DMSO/Li<sup>+</sup> compared to DMSO/Na<sup>+</sup> is observed in the anodic sweep (Figures 6a and 6b, red curves). Our SEIRAS results (Figure 2a) suggest that the IR bands corresponding to ORR products formed in DMSO/Li<sup>+</sup> are not completely re-oxidised presumably due to kinetic factor, whereas ORR in DMSO/Na<sup>+</sup> gives more facile oxidation of the ORR products. This difference in the reduction/reoxidation electrochemistry observed in the IR spectra is also apparent in the CVs. Interestingly, in MeCN, both the Li<sup>+</sup> and Na<sup>+</sup> systems show large reduction overpotentials when the electrode potential is scanned further negative to form the peroxide species (Figures 6c and 6d, red curves). This observation for MeCN highlights that the dramatic difference in overpotentials between the Li<sup>+</sup> and Na<sup>+</sup> systems in DMSO is not due to the difference in coordination alone, but it is strongly influenced by the ORR mechanism. The solvent plays a significant

role in determining which mechanism is followed; i.e. whether the solution mediated or surface confined pathway. In MeCN even the superoxide oxidation electrochemistry (Figures 6c and 6d, black curves) shows a large overpotential compared to the DMSO system, indicating that even the first reduction step to superoxide follows a surface confined route leading to the direct deposition. Therefore, the formation of a surface film of  $\text{LiO}_2$  or  $\text{NaO}_2$  *via* a surface mediated reaction in MeCN is highly likely.

The CVs shown in Figure 7 summarize the significant changes in the cyclability and reversibility discussed in the previous section. In DMSO/NaOTf system, both superoxide and peroxide formation steps are solution mediated processes, whereas in DMSO/LiOTf, peroxide is formed on the surface and hence due to sluggish kinetics the discharge species formed on the electrodes surface are not effectively removed therefore CV scans diminish significantly over 20 cycles (Figure 7a). By contrast, the DMSO/NaOTf redox chemistry is stable (Figure 7b), because of the solution mediation and formation of superoxide in solution. Consistent with our spectroscopic observation, even the first reduction step (superoxide formation) follows a surface confined route in MeCN; the CV in Figure 7c shows diminishing ORR/OER peaks upon cycling in MeCN/NaOTf electrolyte despite limiting the lower vertex potential to 2.1V.

## Conclusions

An *in situ* ATR-SEIRAS based approach for the detection for the ORR species in model systems relevant to M-O<sub>2</sub> batteries have been demonstrated. Our IR studies combined with CCSD (T) calculations show that the electrolyte composition has a strong influence on the IR activity of the discharge species. We have demonstrated that the  $\nu_{O-O}$  of alkali metal superoxides (LiO<sub>2</sub> and NaO<sub>2</sub>) and  $\nu_{Li-O}$  of lithium metal peroxides are IR active in electrolytes composed of DMSO and a metal salt (LiOTf or NaOTf). Importantly, SEIRAS provides direct experimental proof that molecular “Li<sub>2</sub>O<sub>2</sub>” is present at the electrode interface. The effect of electrolyte components on the IR activity of the discharge species proposes SEIRAS as a powerful methodology for selective detection of solubilized molecular species of dioxygen reduction products formed in non-aqueous electrolytes. Our *in situ* SEIRAS approach will aid the differentiation between the surface and solvent mediated routes for the discharge product formation in non-aqueous M-O<sub>2</sub> batteries and support ongoing efforts towards understanding mechanistic pathways at the cathode.

## ASSOCIATED CONTENT

**Supporting Information.** “This material is available free of charge *via* the Internet at <http://pubs.acs.org>”

Details of ATR-SEIRAS setup, additional SEIRA spectra and electrochemical data, IR band assignments, ATR spectra of relevant salts and solvents, computational methods and calculations

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## ACKNOWLEDGMENT

We acknowledge the Engineering and Physical Sciences Research Council (EPSRC) for the funding of this research under Grant Numbers EP/K006835/1 and EP/K016954/1. The authors would like to thank Georgios Papageorgiou and Prof. Ken Durose (University of Liverpool) for the use of the thermal evaporator.

## Figures

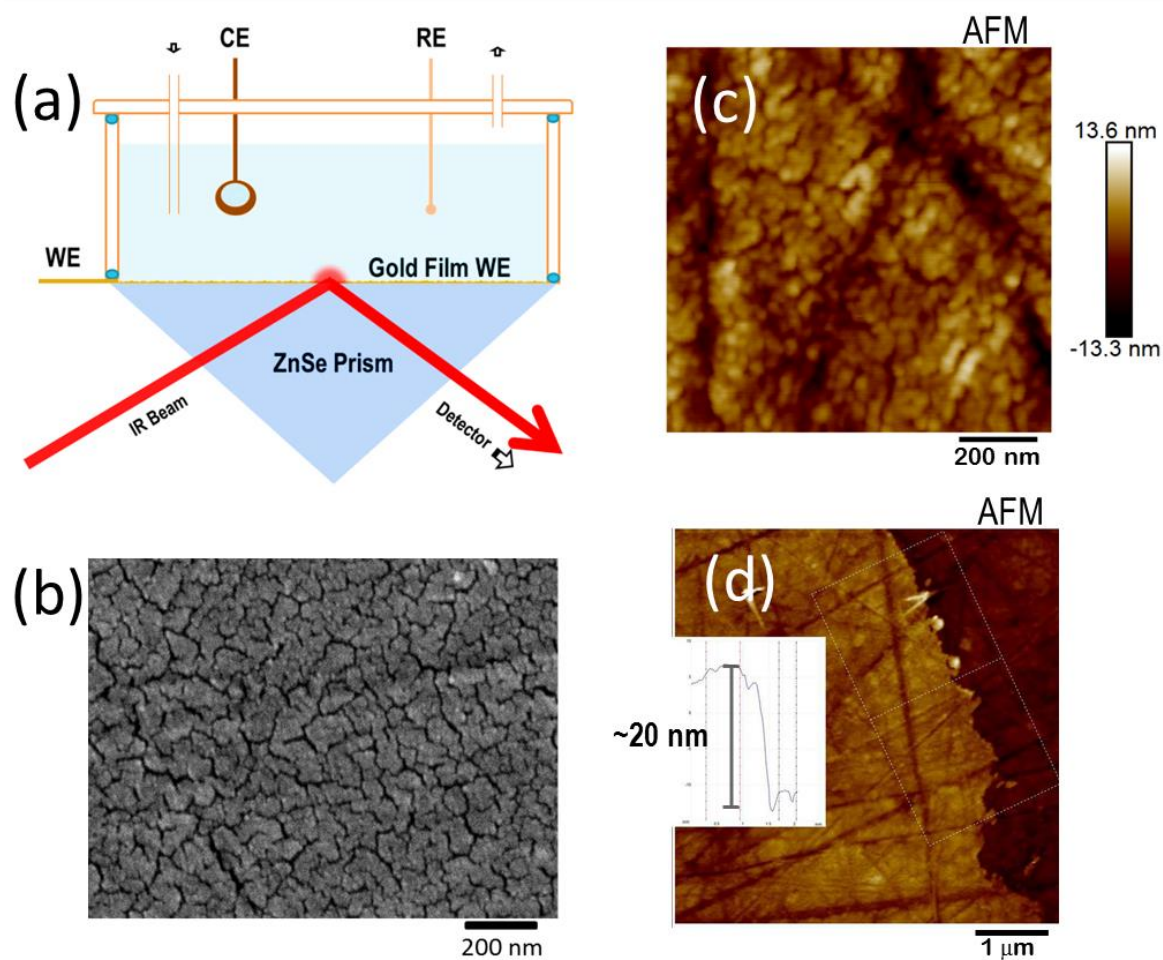


FIGURE 1.

1a: Sketch of the experimental setup for ATR-SEIRAS spectroelectrochemical cell

1b: SEM of the gold film formed on a ZnSe surface

1c, 1d: AFM images showing structure, morphology and thickness of the gold island film formed on the prism

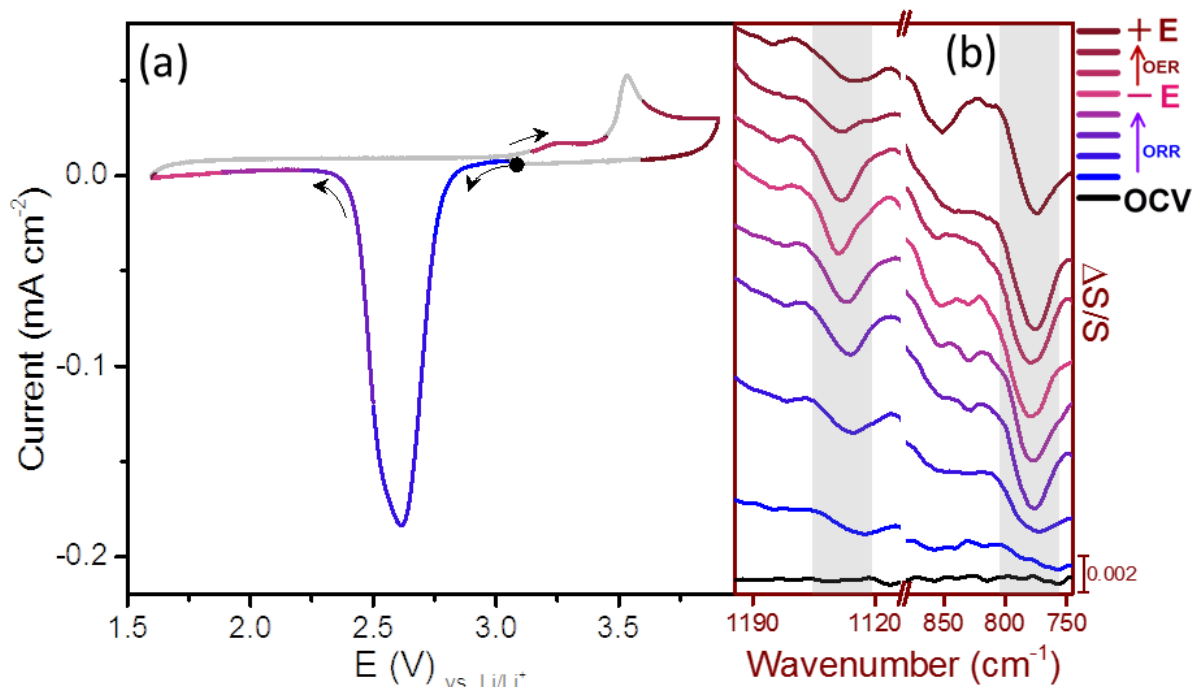


FIGURE 2. CV of ORR/OER at 10 mV/s scan rate in  $\text{O}_2$  purged 0.1 M LiOTf in DMSO on a Au electrode (a) and corresponding *in situ* SEIRA spectra (b). Spectrum at the bottom was collected at the OCP and those acquired at different stages during potential sweep are color-coded to match different regions in the CV. Grey shadings show the region where  $\text{LiO}_2$  or  $\text{Li}_2\text{O}_2$  bands are observed. As explained in the experimental section, a negative going band corresponds to an increasing absorbance when compared to the OCP reference state (this applies for subsequent spectra too).



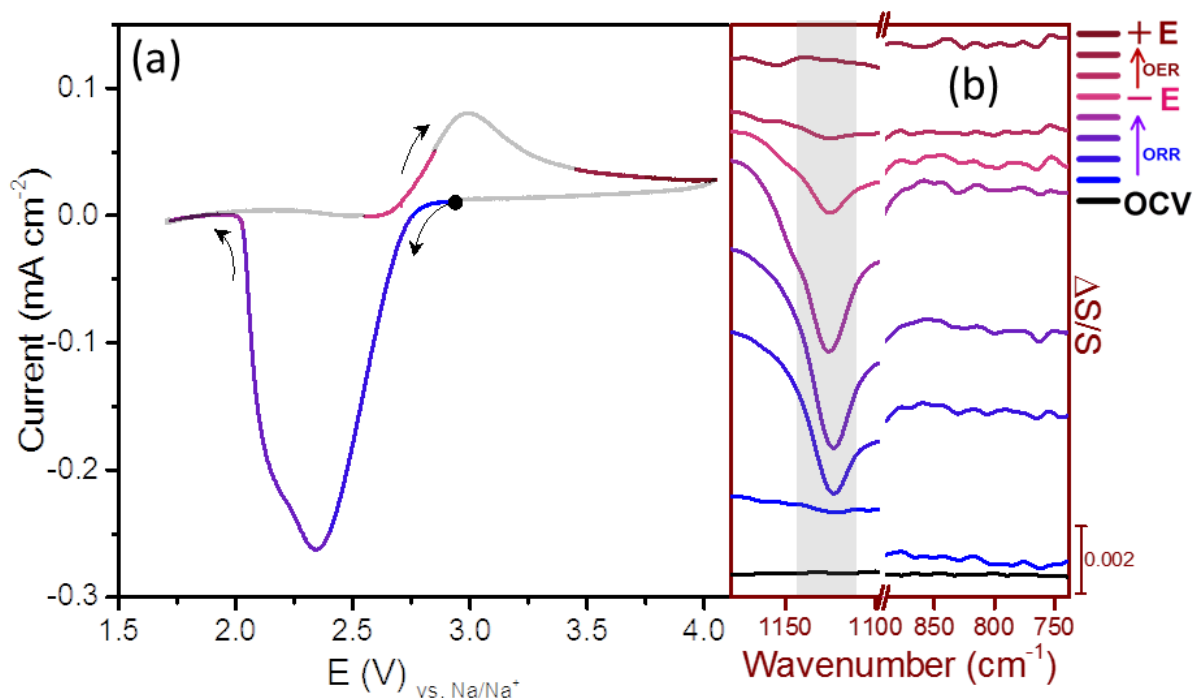


FIGURE 3. CV of ORR/OER at 10 mV/s scan rate in O<sub>2</sub> purged 0.1 M NaOTf in DMSO on a Au electrode (a) and corresponding *in situ* SEIRA spectra (b). Spectrum at the bottom was collected at the OCP and those acquired at different stages during potential sweep are color-coded to match different regions in the CV. Grey shading shows the region where NaO<sub>2</sub> bands are observed.

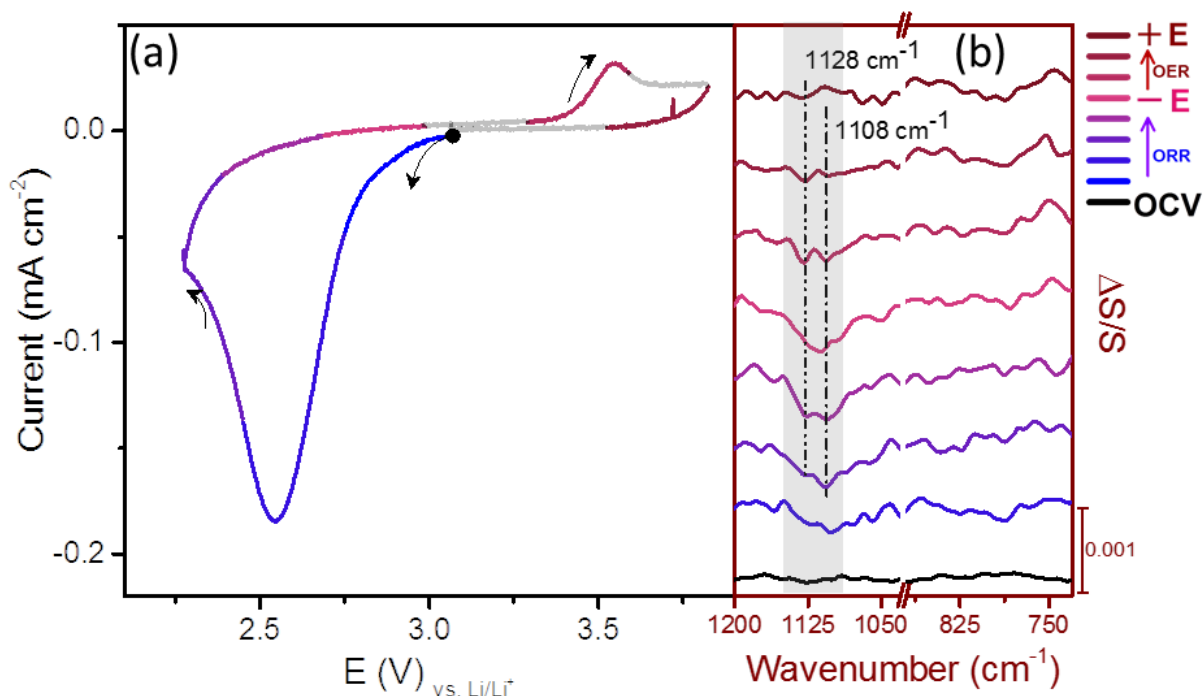


FIGURE 4. CV of ORR/OER at 10 mV/s scan rate in O<sub>2</sub> purged 0.005 M LiOTf + 0.095 M TEAOTf in MeCN on a Au electrode (a) and corresponding *in situ* SEIRA spectra (b). Spectrum at the bottom was collected at the OCP and those acquired at different stages during potential sweep are color-coded to match different regions in the CV. Vertical dotted lines in the grey shading show the doublet character of the superoxide band.

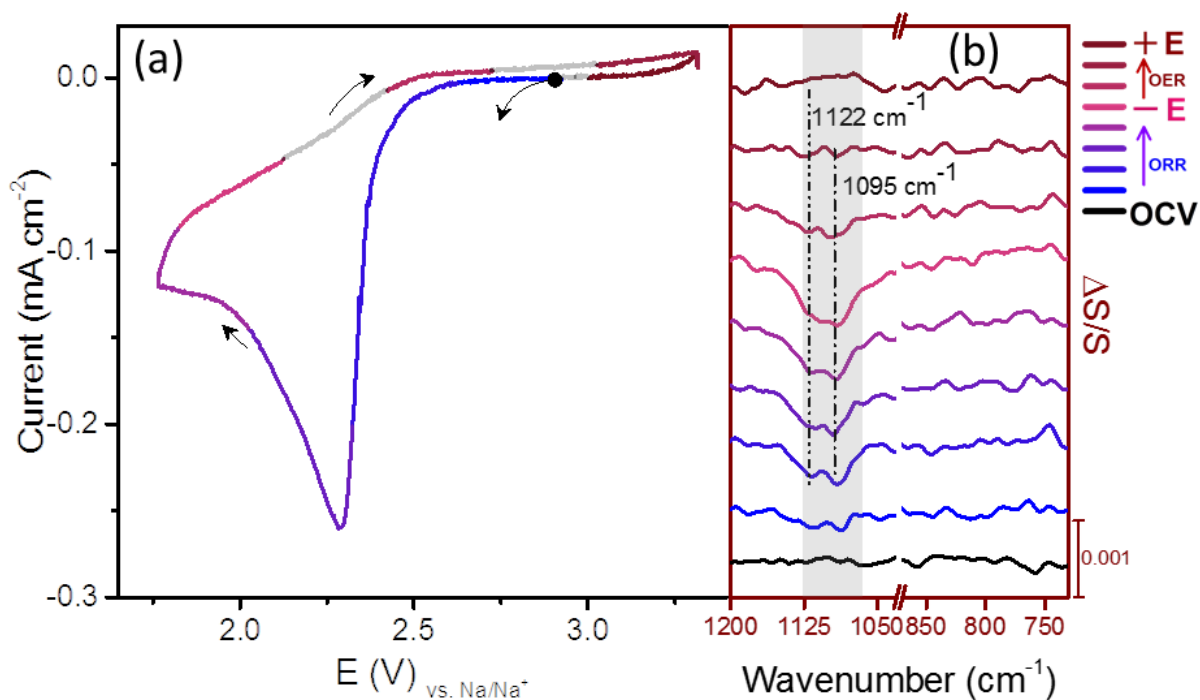


FIGURE 5. CV of ORR/OER at 10 mV/s in O<sub>2</sub> purged 0.005 M NaOTf + 0.095 M TEAOTf in MeCN on Au electrode (a) and corresponding *in situ* SEIRA spectra (b). Spectrum at the bottom was collected at the OCP and those acquired at different stages during potential sweep are color-coded to match different regions in the CV. Vertical dotted lines in the grey shading show the doublet character of the superoxide band.

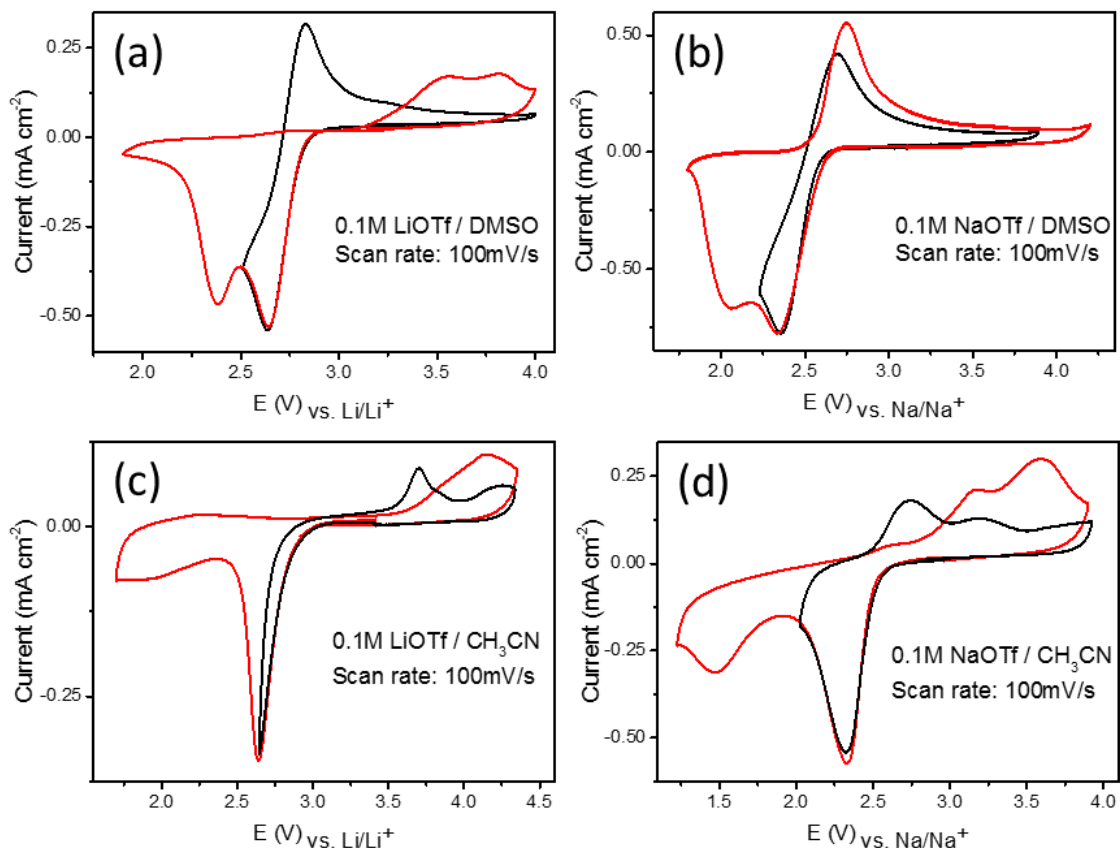


FIGURE 6. CVs obtained on a Au electrode at 100 mV/s scan rate for four different electrolyte compositions. Electrolytes are composed of either DMSO (a and b) or MeCN (c and d) as the solvent and either 0.1 M LiOTf (a and c) or 0.1 M NaOTf (b and d) as the salt. Black CVs show cycles when the lower vertex was limited to the first reduction, while the red CVs show cycles when the potential was swept through both reduction peaks.

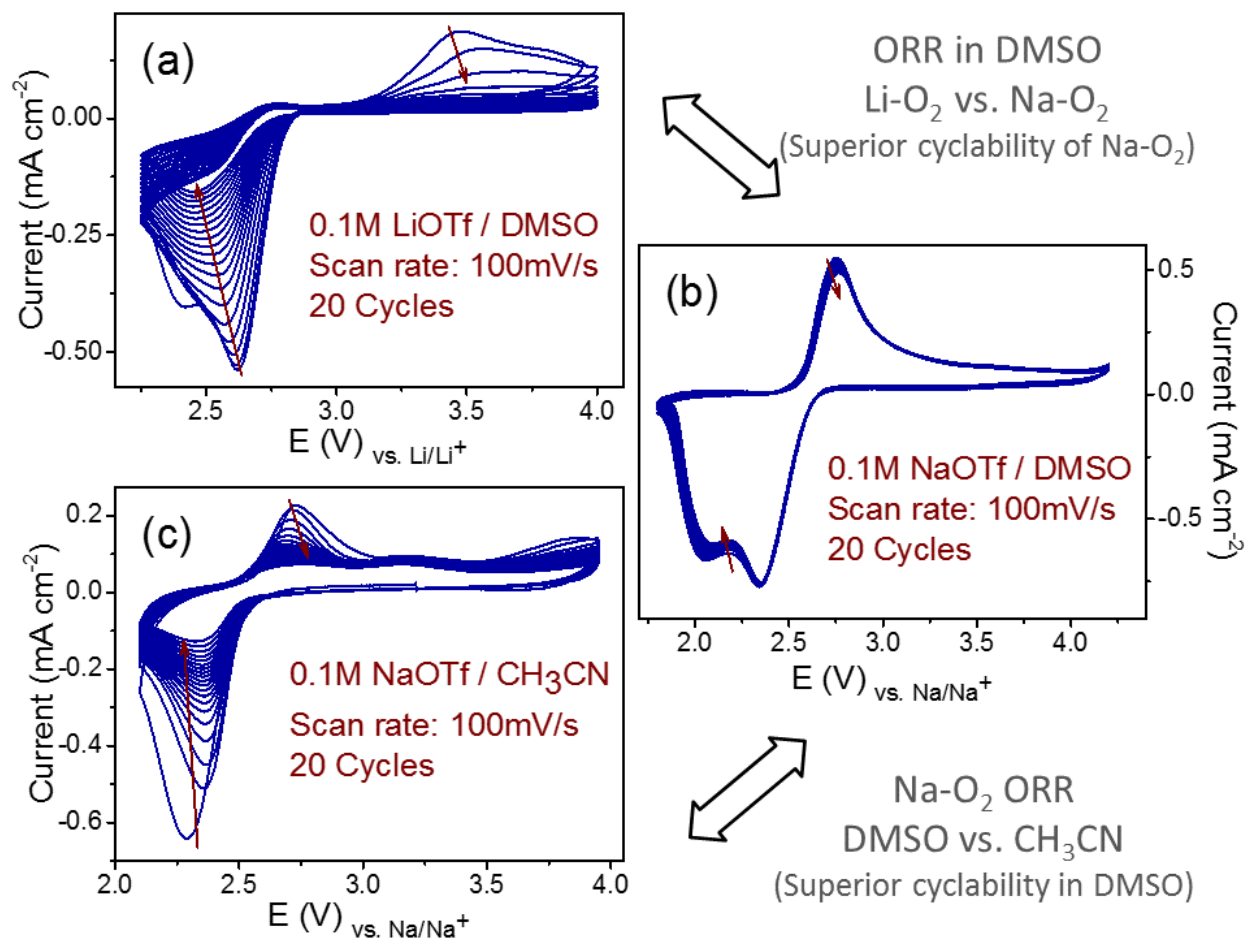


FIGURE 7. CVs obtained at 100 mV/s scan rate on a Au electrode at three different electrolyte compositions: (a) 0.1 M LiOTf in DMSO (b) 0.1 M NaOTf in DMSO (c) 0.1 M NaOTf in MeCN. Figures a and b compares the effect of  $\text{Li}^+/\text{Na}^+$  on the ORR/OER cyclability in the same solvent. Figures b and c compares the effect of solvent (DMSO vs. MeCN) at the same salt composition.

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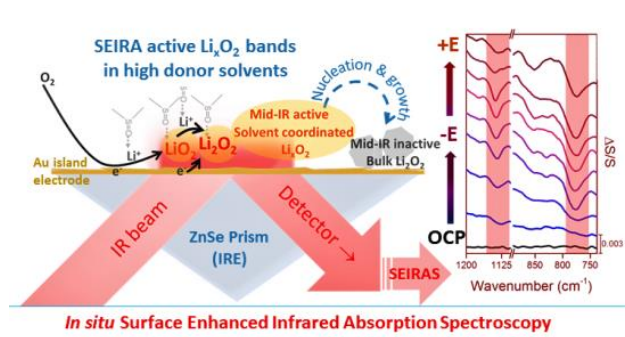
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